



## ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

July 24, 2019

Mr. Kyle Smith  
Broadridge Business Process Outsourcing, LLC  
2 Gateway Center  
Newark NJ 07102

Reference: **FX2019-0723-0190/E**

Org Id: 114212

1. Broadridge Newsletter: September 2019 - Millen; SideH; FedTx; 5Ret; SocSec; ReInv; MutF; LTC; FAFSA; FinLt; InvCol; 5Ques; YthSp; Cartn (#BroadridgeNewsletter0919)  
Rule: FIN 2210  
11 Pages

Total Fee: \$650

Dear Mr. Smith,

Our review is based on the understanding that in its final form, this communication will prominently disclose the name of the member, pursuant to FINRA Rule 2210(d)(3), as represented by your firm in the explanation uploaded with this submission.

The above-referenced communication appears consistent with applicable standards.

Reviewed by,

Kristina B. Shaw  
Associate Principal Analyst

kbs

***This year's Advertising Regulation Conference will be held on October 24-25 in Washington, D.C. For more information and to register, please access the conference webpage at [www.finra.org/2019adreg](http://www.finra.org/2019adreg).***

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